

Received date: 05/11/2006

10595646 - GAU: 4131



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit: Unknown

ANDREAS BOCKHOLT ET AL.

Examiner: Unknown

Serial No.: 10/595,646

Filed: May 2, 2006

For: ALPHA-ALKOXY-SILANES AND USE THEREOF IN
ALKOXY-SILANE TERMINATED PREPOLYMERS

Attorney Docket No.: WAS 0777 PUSA

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

May 9, 2006
Date of Deposit

William G. Conger
Name of Person Signing

Signature

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.H./

S/N: 10/595,646

Atty Dkt No. WAS 0777 PUSA

Accompanying the Information Disclosure Statement is the statement of Dr. Helmut Fritz describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

ANDREAS BOCKHOLT ET AL.

By: 

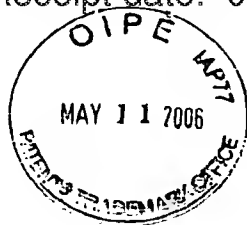
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Attorney for Applicant

Date: May 9, 2006

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
ANDREAS BOCKHOLT ET AL. :
Serial Number : Group Art Unit:
Filed: :
For: ALPHA-ALKOXY-SILANES AND USE THEREOF IN ALKOXY-SILANE
TERMINATED PREPOLYMERS :

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir or Madam:

I, Helmut Fritz, associated with the preparation and prosecution of the above-identified application, residing at Kreuzstraße 11, 83624 Otterfing, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and the corresponding International Search Report may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US 6,207,766 is already in English language.

US 3,971,751 is already in English language.

US 5,254,657 is already in English language.

US 5,068,304 is already in English language.

For EP 1256595 an English Patbase Abstract is enclosed.

For EP 1245601 an English Patbase Abstract is enclosed.

For EP 0885933 an English Patbase Abstract is enclosed.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.H./

For DE 10142050 an English translation of the corresponding WO 03/018658 is enclosed.

For DE 10139132 an English Derwent Abstract is enclosed.

For EP 0596360 the corresponding US 5364955 is enclosed which is already in English language.

For WO 02/066532 the corresponding US 2004072921 is enclosed which is already in English language.

Signed this 28th day of April, 2006.


.....
Dr. Helmut Fritz

10595646 - GALT: 4131
PTO/SB/08A (10-96) (MODIFIED)

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.H./

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|---|-----------------------|---|-------------------------|------------------------|----------------|
| Substitute for Form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | Complete if Known | | | |
| | | Application Number | 10/595,646 | | |
| | | Filing Date | May 2, 2006 | | |
| | | First Named Inventor | Andreas Bockholt et al. | | |
| | | Group Art Unit | Unknown | | |
| | | Examiner Name | Unknown | | |
| Sheet | 2 | of | 2 | Attorney Docket Number | WAS 0777 PUSA |
| OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS | | | | | |
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | T ² |
| /T.H./ | | Patent Abstract Corresponding to EP 0 885 933 A1. | | | |
| /T.H./ | | Patent Abstract Corresponding to EP 1 245 601 A1. | | | |
| /T.H./ | | Patent Abstract Corresponding to EP 1 256 595 A1. | | | |
| /T.H./ | | Patent Abstract Corresponding to DE 101 39 132 A1. | | | |
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|-----------------------|-------------|--------------------|------------|
| Examiner Signature | /Toby Hain/ | Date Considered | 04/29/2009 |
|-----------------------|-------------|--------------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.H./